

**BOTH SIDES OF THIS FORM MUST BE READ AND SIGNED**

DOE F 5631.18 (I)  
(09-92)  
(All other Editions are Obsolete)

OMB Control No.  
1910-1800

**OMB Burden Disclosure Statement**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of Information Resource Management, AD-241.2 - GTN. Paper Work Reduction Project (1910-1800), U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, D.C. 20585; and to the Office of Management and Budget, Paperwork Reduction Project (1910-1800), Washington, D.C. 20503.

**U. S. DEPARTMENT OF ENERGY  
SECURITY ACKNOWLEDGMENT**

I, \_\_\_\_\_, in anticipation of my security clearance or access authorization by the United States Department of Energy (DOE), make the following statement with the understanding and intent that my statement will be used by the DOE in carrying out its obligation to protect the security of Restricted Data, other classified information, and special nuclear material.

1. I understand that it is the policy of the DOE to control access to and dissemination of Restricted Data, other classified information, and special nuclear material.
2. I understand that in carrying out the aforesaid policy, the DOE has issued and will issue and revise, as circumstances require, certain instructions and regulations pertaining to the access to, and control and dissemination of Restricted Data, other classified information, or special nuclear material.
3. I shall not reveal to any person any Restricted Data or other classified information, of which I gain knowledge as a result of my employment, assignment, or duties, except in accordance with official instructions and regulations of the DOE or except as may be hereafter authorized by officials empowered to grant such authority.
4. I understand that the provisions of the Atomic Energy Act of 1954 prescribe penalties for the disclosure of Restricted Data to unauthorized persons, and the provisions of U. S. Code, Title 18, "Crimes and Criminal Procedures," prescribe penalties for the disclosure of unauthorized persons of information respecting the national defense, and for loss, destruction or compromise of such information through gross negligence.
5. I understand that willful or gross carelessness in revealing or disclosing to any unauthorized person Restricted Data or other classified matter pertaining to the DOE or any other Government agency may constitute sufficient cause for termination of my association with classified programs.
6. I understand that I am to report to the DOE any proposed travel to a sensitive country. Procedures for reporting such travel are contained in DOE 1500.3, "Foreign Travel Authorization."
7. I understand that the use of alcohol habitually to excess, or any involvement with illegal drugs, could result in the loss of my DOE security clearance.
8. I understand that I am to provide to the DOE: within 5 working days, information concerning any legal action to effect a change in my name; and within 45 calendar days, a DOE F 5631.34, "Data Report on Spouse," in accordance with the provisions of Chapter V, DOE 5631.2, "Personnel Security Program."
9. I understand that I am to notify the DOE directly within 5 working days of all arrests, charges (including charges that are dismissed), or detentions by Federal, State, or other law enforcement authorities, for any violation of any Federal law, State law, county or municipal law, regulation or ordinance, other than traffic violations for which a fine of \$250 or less was imposed, occurring during any period in which I may hold DOE security clearance and which occurred subsequent to the completion of the security forms which I executed on:



\_\_\_\_\_

Date

\_\_\_\_\_

Signature

\_\_\_\_\_

(Place at which Security Acknowledgment is Signed)

\_\_\_\_\_

(Name of Employer)

**Privacy Act Statement and Clearance Criteria on Reverse  
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**PRIVACY ACT STATEMENT**

Collection of information requested is authorized by the Atomic Energy Act of 1954, as amended, Executive Orders 10450, 10865, and 12356, and U. S. Department of Energy (DOE) 5631.2. The name of the individual is used as an identifying factor to establish and maintain records of DOE personnel security actions in DOE System of Records DOE-42, "Central Personnel Clearance Index." This form will become part of the individual's DOE Personnel Security File (PSF), DOE System of Records DOE-43, "Personnel Security Clearance Files." Access to the PSF within the DOE and by other individuals is permitted as stipulated in DOE 5631.2, "Personnel Security Program," and as listed as Routine Users in Appendix B to DOE System of Records DOE-43. Disclosure of the information requested on this form is voluntary; however, if the information is not provided, the request for your DOE access authorization (security clearance) will not be processed. If you possess a DOE access authorization and elect not to complete this form as required for reinvestigation purposes, your DOE access authorization may be administratively terminated. A copy of this form will be provided to you upon verbal or written request.

**CLEARANCE CRITERIA STATEMENT**

I understand that an initial investigation or reinvestigation will be conducted of me at the request of the DOE, the results of which will be used by the DOE to determine my initial or continuing eligibility for DOE access authorization (security clearance). Further, I understand that the following types of information, as listed under Title 10, Code of Federal Regulations, Part 710 (specifically, Section 710.11), "Criteria and Procedures for Determining Eligibility for Access to Classified Matter or Significant Quantities of Special Nuclear Material," may raise a doubt as to my eligibility for DOE access authorization.

Derogatory information including, but not limited to , those cases in which the individual has:

- (a) Committed, prepared, or attempted to commit, or aided, abetted, or conspired with another to commit any act of sabotage, espionage, treason, or sedition.
- (b) Knowingly established or continued a sympathetic association with a saboteur, spy, traitor, seditionist, anarchist, or revolutionist, espionage agent, or representative of a foreign nation whose interests are inimical to the interests of the United States, or with any person advocating the use of force or violence to overthrow the Government of the United States by unconstitutional means.
- (c) Knowingly held membership in or had a knowing affiliation with, or has taken action which evidences a sympathetic association with the intent of furthering the aims of, or adherence to, and active participation in any foreign or domestic organization, association, movement, group, or combination of persons which advocates or practices the commission of acts of force or violence to prevent others from exercising their rights under the Constitution or Laws of the United States or any state or subdivision thereof by unlawful means.
- (d) Publicly or privately advocates, or participates in the activities of a group or organization, which has as its goal, revolution by force or violence to overthrow the Government of the United States or the alteration of the form of Government of the United States by unconstitutional means with a knowledge that it will further these goals.
- (e) Parent(s), brother(s), sister(s), spouse, or offspring residing in a nation whose interests may be inimical to the interests of the United States, or in satellites or occupied areas thereof (to be evaluated in the light of the risk that pressure applied through such relatives could force the individuals to act contrary to national security).
- (f) Has deliberately misrepresented, falsified, or omitted significant information from a Personnel Security Questionnaire, a personnel qualifications statement, or a personnel security interview. (Note: Include a SF-86, "Questionnaire for Sensitive Positions".)
- (g) Has failed to protect classified information, or safeguard special nuclear material; or has willfully violated or disregarded security or safeguards regulations to a degree which would endanger the common defense and security or has intentionally disclosed classified information to a person unauthorized to receive such information.
- (h) Has an illness or mental condition of any nature which in the opinion of competent medical authority causes, or may cause, significant defect in the judgment or reliability of the individual, or has refused to be examined by a psychiatrist.
- (i) Has refused to testify before a Congressional Committee, Federal or state court, or Federal administrative body, regarding charges relevant to eligibility for DOE access authorization.
- (j) Is a user of alcohol habitually to excess, or has been such without adequate evidence of rehabilitation or reformation.
- (k) Has used, trafficked in, sold, transferred, or possessed a drug or other substance listed in the schedule of Controlled Substances established pursuant to Section 202 of the Controlled Substances Act of 1970 (such as amphetamines, barbiturates, narcotics, etc.) except as prescribed or administered by a physician licensed to dispense drugs in the practice of medicine, without adequate evidence of rehabilitation or reformation.
- (l) Has engaged in any unusual conduct or is subject to any circumstances which tend to show that the individual is not honest, reliable, or trustworthy, and there is no adequate evidence of rehabilitation or reformation; or which furnishes reason to believe that the individual may be subject to coercion, influence, or pressure which may cause the individual to act contrary to the best interest of national security. Such conduct or circumstances include, but are not limited to, sexual activity, demonstrated financial irresponsibility, or notoriously disgraceful conduct.

I herewith certify that I have read and understand the above.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

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